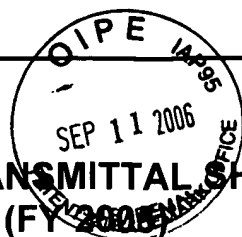


Effective on 12/08/04

## FEE TRANSMITTAL SHEET

(FY 2006)

☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

## Complete if Known

Application No.	09/709,162
Filing Date	November 10, 2000
First Inventor	Guillermo J. Tearney
Group Art Unit	3737
Examiner Name	Eleni M. Mantis Mercader
Atty. Docket Number	187718/US - 475387-00245

## METHOD OF PAYMENT (Check One)

☒ Check ☐ Credit Card ☐ Money Order☒ Deposit Account No.: 50-2054

Deposit Account Name: DORSEY &amp; WHITNEY LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge any additional fees or underpayments of fee(s) under 37 C.F.R. 1.16 and 1.17☒ Credit any overpayments☐ Other (please identify):

## Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total 69	- 67	= 2	x \$25	= \$50
Ind. 5	- 14	= 0	x \$0	= \$0
Multiple Dependent Claims			x \$	= \$
Subtotal (Extra Claims)				\$ 50

## Petition Fee Under 37 CFR 1.17(f), (g), &amp; (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ Petition Fee under 37 CFR 1.17(f) **Fee \$400**
- § 1.53(e) to accord a filing date.  
 § 1.57(a) to accord a filing date.  
 § 1.182 for decision on a question not provided for.  
 § 1.183 to suspend the rules.  
 § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.  
 § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.
- ☐ Petition Fee under 37 CFR 1.17(g) **Fee \$200**
- § 1.12 for access to an assignment record.  
 § 1.14 for access to an application.  
 § 1.47 for filing by other than all inventors or person not the inventor.  
 § 1.59 for expungement of information.  
 § 1.103(a) to suspend action in an application.  
 § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail.  
 § 1.295 for review of refusal to publish a statutory invention registration.  
 § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.  
 § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.  
 § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.  
 § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.  
 § 5.12 for expedited handling of foreign filing license.  
 § 5.15 for changing the scope of a license.  
 § 1.5.25 for retroactive license.
- ☐ Petition Fee under 37 CFR 1.17(h) **Fee \$130**
- § 1.19(g) to request documents in a form other than provided in this part.  
 § 1.84 for accepting color drawings or photographs.  
 § 1.91 for entry of a model or exhibit.  
 § 1.102(d) to make an application special.  
 § 1.138(c) to expressly abandon an application to avoid publication.  
 § 1.313 to withdraw an application from issue.  
 § 1.314 to defer issuance of a patent.

## FEE CALCULATION (Continued)

## 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	180
40	40	Recording each patent assignment per property (times number of properties)	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	225
1,020	510	Extension for reply within third month	
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	
790	395	Submission After Final 1.129	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1,000	500	Request for oral hearing	
130	65	Terminal Disclaimer Fee	
800	400	Design Issue Fee	
790	395	Request for Continued Examination (RCE)	395
130		Request for voluntary publication or republication	
500	250	Petition to Revive - unavoidable	
1,500	750	Petition to Revive - unintentional	
200		Filing for patent term adjustment	
400		Request for reinstatement of term reduced	
1,120		Extension of term of patent	
OTHER FEE (specify)			

Subtotal (Additional Fees)

Total Amount of Payment: \$800

Submitted by:

CUSTOMER NUMBER  
30873

DORSEY &amp; WHITNEY LLP

250 Park Avenue  
New York, NY 10177

Name: Gary Abelev, Esq.

Phone No. (212) 415-9371

Fax No.: (212) 953-7201

Signature:

Reg. No.: 40,479

Date: September 7, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Guillermo J. Tearney et al.  
Serial No. : 09/709,162  
Filed : November 10, 2000  
For : SPECTRALLY ENCODED MINIATURE ENDOSCOPIC IMAGING  
PROBE  
Examiner : Eleni M. Mantis Mercader  
Group Art Unit : 3737

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**Box RCE**

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Office, P.O. Box 1450, Alexandria, VA 22313-1450

September 7, 2006

Gary Abelev (Reg. No. 40,479)

**AMENDMENT AFTER FINAL OFFICE ACTION AND IN CONJUNCTION WITH  
REQUEST FOR CONTINUED EXAMINATION**

Sir:

This paper is filed in response to a final Office Action dated April 7, 2006. Initially,  
please amend the above-identified application as set forth below.

**In The Drawings:**

Please replace originally filed FIG. 1 with a modified FIG. 1 which is filed herewith  
on a separate sheet which is labeled as a "replacement sheet."

**In The Claims:**

Please cancel previously-pending claim 1-67, without prejudice, and add new claims  
68-136 as provided below in the associated claim listing on separate sheets: